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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. RU-0173

Inventors:

Chen et al.

Serial No.:

09/992,860

Group Art Unit No.: 1651

Filed:

FEB 1 9 2003

November 14, 2001

Examiner: Flood, M.

For:

Black Tea Extract for Prevention of Disease

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant submits herewith copies of the references or other information, listed in substitute Form PTO-1449, of which it is aware that it believes may be material to the examination of this application, and in respect of which, there may be a duty to disclose under 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98. The Examiner is requested to initial one copy of Form 1449 and return same to the undersigned.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Legible copies of all items listed in the substitute Form PTO-1449 accompany this statement.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

No fee is believed to be due with this paper. However, if the Commissioner considers

a fee to be due with respect to this submission, please charge any fee due to Deposit Account No. 18-0586.

Respectfully submitted,

Date: February 11, 2003

Nanda P.B.A. Kumar Registration No. 44,853 William J. McNichol, Jr. Registration No. 31,179 Attorneys for Applicant

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ATTUTE FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE

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ATTY. DOCKET NO.	7
RU-0173	

SERIAL NO. 09/992,860

APPLICANT: Chen et al.

FILING DATE November 14, 2001

GROUP 1651

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA	6,264,995 B1	July 24, 2001	Newmark et al.			RECEIVED
	AB	6,008,260	Dec. 28, 1999	Pezzuto et al.			FEB 2 1 2003

FOREIGN PATENT DOCUMENTS

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	DOCUMENT NO.	DATE		cou	CLASS	SUBCLASS	TRANSLATION YES	– NO
	AA · EP 0 919 240 A1	June 2, 1999	Europe		•			X
A	B JP 360025933A (Abstract only in English)	February 8, 1985	Japan	-				X
A	C DE 29623606 U1 (Abstract only)	June 10, 1999	Germany					X
A	D JP 48080710 A (Abstract only in English)	October 29, 1973	Japan					X
A	E JP 409176009A (Abstract only in English)	July 8, 1997	Japan					X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Chen et al., (1999), "Inhibition of TPA-Induced Protein Kinase C and Transcription Activator
	Protein-1 Binding Activities by Theaflavin-3,3'-digallate from Black Tea in NIH3T3 Cells", J.
	Agric. Food Chem, Vol. 47, pp. 1416-1421
AB	Katiyar et al., (1997), "Inhibition of phorbol ester tumor promoter 12-O-tetradecanoylphorbol-
	13-acetate-caused inflammatory responses in SENCAR mouse skin by black tea polyphenols",
	Carcinogenesis, Vol. 18, No. 10, pp. 1911-1916
AC	Yang et al, (2000), "Inhibition of Carcinogenesis by Tea Constituents and the Mechanisms
	Involved", Chapter 17 In: Phytochemicals and Phytopharmaceuticals, Editors: Shahidi et al.,
	AOCS Press, Champaign, Illinois, pp. 192-201
	AOCS Tress, Champaigh, Inmois, pp. 172 201
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.